

The Professional Liability Fund presents:

So You Think You Don't Practice "Securities Law?"

Location: OSB Center
Columbia Rooms A & B
16037 SW Upper Boones Ferry Road
Tigard, Oregon 97224

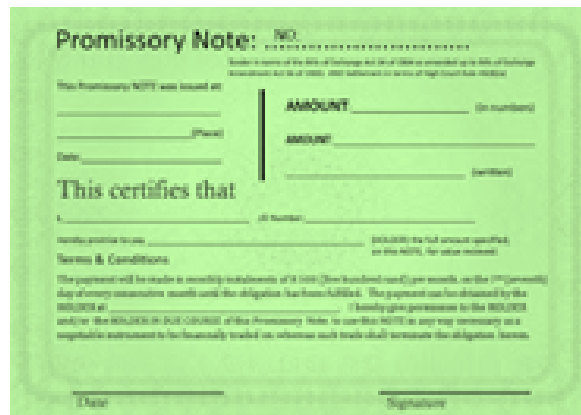
Date: Wednesday, September 13, 2017

Check In: 8:45 a.m.

Program Time: 9:00 a.m. – 10:30 a.m.

Fee: Free!

1.5 MCLE General/Practical Skills credits are pending.



Did you know that drafting documents for seemingly "ordinary transactions" can submerge you into the dark waters of Oregon Securities Law? It's surprising, but Oregon Securities Law can apply to promissory notes, real estate sales, and fractional interests in anything from retail stores to master music recordings. Still more surprising: even if your documents are prepared perfectly, you may be liable for "aiding an unlawful sale." This CLE will help you understand your exposure and how to reduce your risk of being sued. Topics include:

- The fine line between "ordinary" transactions and transactions that expose you to claims of materially aiding an unlawful sale;
- How to recognize transactions that qualify as an Oregon security;
- Lawyer liability to non-clients arising out of Oregon securities transactions;
- The red flags that signal a problem client or transaction;
- How to reduce your liability risk; and
- What to expect if a securities claim is made against you.

Presenters: **Matthew Kalmanson**, Hart Wagner ; **Daniel Keppler**, Garvey Schubert Barer; **Sarah Troutt**, Claims Attorney, OSB Professional Liability Fund; and **Emilee Preble**, Lead Underwriter, OSB Professional Liability Fund

PLF CLE – So You Think You Don't Practice "Securities Law?"

Wednesday, September 13, 2017, OSB Center, Tigard, OR

9:00 a.m. - 10:30 a.m.

To register, please complete this form, mail to the PLF,
Attn: DeAnna Z. Shields, P.O. Box 231600, Tigard, OR 97281-1600,
or email to deannas@osbplf.org by Friday, September 8, 2017 by 3:00 p.m.

Name: _____ OSB #: _____

Firm: _____

Address: _____

Email: _____ Phone: _____

For information about accommodations for persons with disabilities, call DeAnna Z. Shields at 503-639-6911 or 1-800-452-1639.