

# HIGHER COVERAGE LIMITS SUPPLEMENT

(Complete only if you are requesting coverage limits of \$9.7 million/\$9.7 million)

**Firm Name:** \_\_\_\_\_

Firms that apply for excess coverage limits of \$9.7 million/\$9.7 million are subject to additional underwriting restrictions. In some cases, the PLF is required to submit the firm's application to our reinsurers (this may add additional time to application processing). Firms that otherwise may qualify for lower levels of excess coverage could be declined at the higher level or be charged higher rates based on PLF and reinsurer underwriting. To help us complete the underwriting requirements for the higher coverage, please answer the following questions:

1. Has the firm maintained excess coverage with limits of at least \$4.7 million per claim/\$4.7 million annual aggregate of claims for the past two years? Please provide the Firm's (and any Predecessor Firm's) history of professional liability coverage (do not include PLF primary coverage) for the last two years: ☐ Yes ☐ No

Policy Period From/To	Insurance Company	Policy Limits	Name of Law Firm to which policy was issued
_____	_____	\$ _____	_____
_____	_____	\$ _____	_____

**PLEASE PROVIDE A COPY OF THE DECLARATIONS PAGE from your current excess professional liability policy or policies and copies of any endorsements. (Do not attach proof of coverage if your firm was covered by the PLF Excess Program in these periods.)**

2. (a) Has any present or former attorney or other person with the Firm or a Predecessor Firm practiced at any time in the last three years in the area of intellectual property law? If yes, please describe your Firm's practice in this area by separate attachment. ☐ Yes ☐ No
- (b) If (a) is yes, has the Firm completed a Patent Attorney Supplement for each current or former patent attorney who was with the firm during the past five years? (For Firms applying for PLF coverage for the first time, all Patent Attorney Supplements should be submitted with the Firm's Application. Renewing Firms need only submit Patent Attorney Supplements for new attorneys that have not already submitted a Patent Attorney Supplement when the Firm first applied for PLF excess coverage.) If no, please explain. ☐ Yes ☐ No
3. Did you indicate on Question E.1 of the Firm's Application that Negligence/Plaintiff litigation work constituted more than 20% of the Firm's professional time or billings during the most recent 12-month period for which you have data? If yes, please describe this part of your firm's practice by separate attachment. ☐ Yes ☐ No

4. (a) Did you indicate on Question E.2 of the Firm's Application that any present or former attorney with the Firm or a Predecessor Firm practiced at any time in the last three years in the area of Securities Law? (see the Application instructions and the Securities Law Supplement for more information regarding the definition of Securities Law.) ☐ Yes ☐ No

(b) If (a) is yes, have you completed and attached a Securities Law Supplement for the Firm? If no, please explain. ☐ Yes ☐ No

5. (a) Has a malpractice claim ever been made against the Firm, Predecessor Firm or any member of the Firm or a Predecessor Firm in which the combination of defense costs and indemnity paid was \$1 million or more? ☐ Yes ☐ No

(b) If (a) is yes, please describe each such claim by separate attachment. For each claim, include the following information:

(1) Name of claimant;

(2) Date of claim;

(3) Name of Insurer or other coverage provider covering the claim;

(4) Litigation or non-litigation (if litigated, name of court and case file number);

(5) Name of claimant's attorney;

(6) Defense costs and indemnity paid;

(7) A detailed description of the nature of and reasons for the claim, subject matter, and ultimate outcome; and

(8) Names of attorney(s) and firm(s) against whom the claim was made.

6. During the past year, did the count of current firm attorneys ever exceed 50? ☐ Yes ☐ No

If yes, indicate highest current firm attorney count during that period:\_\_\_\_\_

The firm understands that the information submitted herein becomes a part of the Firm's Application and is subject to the same representations and conditions.

Signature\*:\_\_\_\_\_ Date:\_\_\_\_\_

\*(Must be signed by a partner or shareholder of applicant, not an office manager.)